

## The Menu Bar

- Administrivia

Lecture 3 posted; Lab 1a (aka "component II") due today; Lab 1b, due next Monday

- Kimmo \& Laboratory 1b: how-to
- Postmortem: Complexity of Kimmo/fst's - too weak? Too strong? What makes a good computational linguistics representation? A good linguistic representation? A good algorithm?
- Alternatives: morphology w/o a dictionary



## Morphology: why do we need it for language analysis?

- Inflectional Morphology:
- Agreement-features (person, number, gender)
. Examples: movies, blonde, actress
- Irregular examples: appendices, geese
- Case
- Examples: he/him, who/whom
- Comparatives and superlatives
- Examples: happier/happiest
- Tense
- Examples: drive/drives/drove (-ed)/driven
- Derivational Morphology
- Nominalization
- Examples: formalization, informant, informer, refusal, lossage
- Deadjectivals
. Examples: weaken, happiness, simplify, formalize, slowly, calm
- Deverbals
- Examples: see nominalizations, readable, employee
- Denominals
- Examples: formal, bridge, ski, cowardly, useful

| Part of the English Tense System |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| (basic) | Present | Past | Future | Infinitive |
| Perfect | has eaten | had <br> eaten | will have <br> eaten | to have <br> eaten |
| progressive | is eating | was <br> eating | will be <br> eating | to be eating |
| Perfect+ <br> progressive | has been <br> eating | had <br> been <br> eating | will have <br> been eating | to have been <br> eating |

## Morphology and Semantics

## - Suffixation

- Examples:
. xemploy $y$
- employee: picks out $y$
- employer: picks out $x$
. x read y
- readable: picks out y
- Prefixation
- Examples:
- undo, redo, un-redo, encode, defrost, asymmetric, malformed, ill-formed, pro-Chomsky


## The Three Ideas of Two-Level

 Morphology- Rules are symbol-to-symbol constraints that are applied in parallel, not sequentially like rewrite rules, via sets of transducers
- The constraints can refer to the lexical context, to the surface context or to both contexts at the same time.
- Lexical lookup and morphological analysis are performed in tandem.

- What phenomena you're covering
- How to build spelling-change fsa's - details
- How to build morpheme automaton - details


You are given the orthograply, including some special characters to stand for the accented ones á,é,ó,ü,ñ ; and some underlying characters you may find essential, such as J, C, Z.

- Wise to proceed by first building the automata (rul) file; then the lexicon(s) - because you can test the rules without any lexicon by generation of a surface form
- The automata can be built (roughly) by considering each phenomenon separately
- 3 kinds of phenomena \& 2 morpheme patterns



## Phenomenon 2: z-c mutation

- z-c mutation
$z \rightarrow c$ before front vowels, $z$ otherwise cruzar (to cross); cruzo, cruzas, cruza, cruzamos, cruzan, cruce
- If $s$ causes a front vowel (e.g., e) to surface, then the rule still applies:
lápiz, lápices (pencil, pencils) [ /^piz, /^pices]


## Example: look at phenomenon, then see first how to describe

- What is the left and right context of the change?
- Write it as a declarative constraint
- Remember that you can use both the surface and the lexical characters to admit or to rule out a possibility
- Thinking in terms of constraints (what is ruled out by the rule) is the most difficult 'mindset' to attain...


## Build automaton for lex, surface pairs

- But what are the lexical pairs?
- Ah, your job!
- Trying pairings - not generally the infinitive, e.g.
cruzar, cruzamos $\rightarrow$ legit pair?
cruzar
cruzamos
Look at the other pairs - what do you think the root is?


## Writing rules

- cruzar/cruzamos cruzar/cruce ?
- We can try a (tentative) lexical/surface pair, and from that extract the right spelling change
- Do it step by step: use the alignment to write down the 'straight-line' acceptance path:
cruz
cruce
Pad out length by using 0's (nulls) (why important)? cruz0 cruzO cruce cruzo
Outline context - hmm, perhaps we do need root?



## Some format details

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. For the automata: the .rul file:
    ALPHABET
        A b_c_c_d_e<f_g
    NULL O
    ANY @
    BOUNDARY #
    RULE "Default characters" 1 33
        a ^ c d e ... z
    1: a ^ c d e ... z ; WHY Needed?
    RULE "z goes to c" 3 4
        @ z + +
        @ c e o
1: 1 2 0 0
2. ? 3 0 0
3. 1 2 1 1
RULE "PLURALIZE"

\section*{Instead of writing fst tables...}
- You can use the program fst
- To run:
build fst type rules in file spanish.fst, then
fst -o ~yourpath/spanish.rul ~yourpath/spanish.fst
- Also script to print fst files to dot, for ps viewing
- Format for fst rules:

FST rules
"z before high vowel to \(C\) " subset hivowel e i
achine ztoc
state one
z:hivowel two
c:c one
z:z one
others reject
state two
+:e three
+:0 reject
...
others one

\section*{Design of morpheme machine}
- One big fsa, that handles two phenomena: plurals and verb endings




\section*{Specifying transition arcs}
- For each arc: List transitions \& next states, and output
Transitions from
State next-state Gloss (output)

N_root1:
kol
N_root
Noun('arm')
kitab
N_root
Noun('book')


\section*{Kimmo: its use and abuse or: Postmortem}
- Criteria to evaluate: scientific, engineering
- Scientific: is this a sufficient representation to cover the linguistic possibilities?
- Is this a necessary representation: does it appropriately represent space of possibilities? (all and only the natural morphophonological rule systems)
- Engineering/computational: what is its computational power? Is it strong enough? Is it too strong?
- How well does it work in practice?
- Is there an alternative?

\section*{Outline: the Use and Abuse of Kimmo}

Kimmo: what is it good for?
- How we return features for parsing
- What can it do? - A longer example of rule ordering
- What can't it do
- What's its computational complexity?
- Morphology w/o a dictionary? The Porter algorithm
- Learning morphology - Goldsmith
- On to pr's and stat. Lang.

\section*{Criterion 1: linguistic adequacy}
- Is Kimmo sufficient?
- Classic rule systems: ordered sets of rewrite rules
- Can Kimmo do these? (Kimmo rules are unordered)






\section*{What constraint do we need for this 'parallel' approach to work?}
- Machines must act in lockstep (sequentially locked) - o.w., won't be looking at the same character at the same time
- "Equal length" constraint:
- Pad out lexical, surface strings s.t. they are of the same length (we'll see why in a moment)
- Example: consider our 4 ordered rule case...

\section*{4 ordered rules - classic case}
- LR: a+ked re+ked a+sin re+sin SR: akseyd reseyd assayn rezayn
- Rule 1: Duplication- Cons \(\rightarrow\) Cons Cons \(\mid æ+_{-}\)
- Rule 2: s to z - \(s \rightarrow z \mid\) _ Vowel
- Rule 3: k to s - \(\mathrm{k} \rightarrow \mathrm{s} \mid\) Vowel _ Vowel
- Rule 4: Vowel shift \(\mathrm{i} \rightarrow\) ay, \(\mathrm{e} \rightarrow\) ey, ...
- Q: can we do this in Kimmo??




\section*{Is Kimmo sufficient?}
- Ideally: yes, iflocally, purely concatenative phenomena (obviously, because fsa's)
- FSAs are based purely on an associative concatenation operation over strings (i.e., ((a+b)+c) \(\equiv(a+(b+c))\) where + denotes concatenation
- Antidisestablishmentarianism
- Turkish word: uygarlas,tiramadiklarimizdanmis,sinizcasina =
uygar+las,+tir+ama+dik+lar+imiz+dan+mis,+siniz+casina (behaving) as if you are among those whom we could not cause to become civilized

\section*{Is Kimmo sufficient?}

So, this lets us think what the system might not be good for... let's look at English first....
- There seem to be some kinds of 'long distance' constraints...
- Prefix/suffix links: only some prefixes tied to some suffixes
. Un---------able
. Undoable, uncanny, ?uncannyable, unthinkable, thinkable, readable, unreadable, unkind, *unkindable
- So, we have to 'keep track' that the \(u n\) is first or not - what does lexicon look like?


Similar example of 'long distance' constraint
- French elision: le, la: I'arbe; I'homme
- Always put in front, elided if noun/adj begins w/ a vowel
- However, blocked if noun is plural: *l'arbes, les arbes

\section*{This kind of duplication is a litmus test of something wrong}
- Duplication: no relation between the two lexicons, but we know they're identical
- Principle AWP
- We will see this again and again
- Usually means we haven't carved (factored) the knowledge at the right 'joints'
- Solution? Usually more powerful machinery 'overlay' representations

\section*{Not al/ long distance effects are a barrier...}
- Phenomena: Vowel harmony
- yourgun + sInIz \(\rightarrow\) yorgunsunuz
- Round vowels assimilate to round vowels; back vowels to back, etc. - all the way from left to right
- Can Kimmo do it? What would be your model? Suppose harmony is right to left?

\section*{What about nonconcatenative L's?}
- Semitic languages, eg, Arabic
- Intercalated consonants and vowels
- Root: \(k\) t b

Cons 'tier' Vocalization: \(\mathrm{C} \underset{\uparrow}{\mathrm{C}} \mathrm{C}_{\uparrow} \mathrm{C} \longrightarrow\) CVCVC ("katab")

Can we do this in Kimmo? (or in a linear system generally?)


\section*{Need extensions}
- Add multiple intersections to interdigitate: CCC^VV \(\rightarrow\) CVCVC then go on from there...
- In general - more powerful machine
- Not yet completely explored


\section*{Verdict: is Kimmo sufficient?}
- Not unless we add some hacks - in this sense, it is too weak
- OK, onto question 2

\section*{Is Kimmo necessary? Why the `equal length' constraint?}
- Zeroes (null elts) must be limited or else...
- Unlimited expansion \(\rightarrow\) no longer a finite state (regular) i/o relation (in fact, Turing complete)
- (Thm: if input-output relation is not bounded by any size of the input, then it could run arbitrarily long...)
- Can no longer guarantee that you can represent this as a new FTN (or more...)
- Hints that power here is not necessary

- Does it explain why many non-human systems never occur (ruling them out)
- Or does it overshoot?
- Ans: it seems to overshoot, in at least 2 ways
- Overshoots detected by computational analysis


\section*{Kimmo admits more than contextfree languages}
- Fact: context-free languages can never define more than one counting dependency
- (Intuition: they use a stack for this - can only push and pop to match)
- So Kimmo is more powerful than this!
(still, might be ok - can parse these in cubic time)
- How powerful is it?
- Conjecture: as powerful as all the context-sensitive languages (even given limited erasing)

\section*{Complexity of Kimmo word recognition}
- All these finite-state devices, working in parallel
- There is backup
- Is it intrinsic to the system? Or eradicable? Or, doesn't matter in practice?

\section*{Litmus test \#2 - computational complexity of Kimmo - word parsing is intractable!}
- Kimmo Recognition Problem (KRP):

Given a language defined by an arbitrary (finite)
Kimmo dictionary (lexical automata) and a finite set of Kimmo rules, how long in the worst case will it take to recognize whether a form is or is not in the language?
- Kimmo recognition problem is NP-hard
- As hard as any other problem solvable by a nondeterminstic Turing machine in polynomial time
- No known det polytime (eg, cubic) algorithm for NPhard problems...


\section*{Parsing words with Kimmo is computationally intractable}
- Intuition: what if the characters on the surface don't give any clues as to what 'features' they ought to have underlyingly? (e.g., whether a Noun or a Verb, as in police police police)
- This seems awfully close to the famous 3-SAT problem: is there an assignment of T (rue), F (alse) to the literals of an arbitrary Boolean formula in 3-conjunctive normal form s.t. the formula evaluates to true?
- In fact, we can simulate this problem using Kimmo


\section*{Reduction of 3-Sat to Kimmo recognition problem}
- For every 3-Sat problem, we can find, in polynomial time, a corresponding Kimmo word recognition problem where there's a valid word if the 3-Sat problem was satisfiable
- If Kimmo recognition could be done in deterministic polynomial time ( P ) then so could 3-SAT


- The fact that an \(x\) that has a truth assignment in one place, must have the same truth assignment everywhere - what morphological process is that like?
- The fact that every triple must have at least 1 'T' underlyingly (so that the triple is true) what morphological process is that like?

\section*{How the reduction works}
- Given arbitrary 3-sat formula \(\phi\), e.g., ( \(x \vee \neg y \vee z\) ) ( \(\neg x \vee \neg z\) ) ( \(x \vee y\) )
- Represent in the form, a 'word':
x-yz,-xz,xy
- For each variable \(x\), we have an 'assignment machine' that ensures that x is mapped to T or F throughout the whole formula
- We have one machine (and a fixed dictionary) to checks each disjunction to make sure that at least one disjunct is true in every conjunct




\section*{Implications}
- Do we need a machine powerful enough to represent intractable problems?
- No evidence for unbounded \# of counting dependencies or harmony processes...
- Performance? Or do we need something this powerful??

\section*{Why should we care?}
- This is typical of a combination of 'agreement and ambiguity' that trickles through all of natural language
- The agreement part - like Turkish vowel harmony
- The ambiguity part - like the police police police example
- Suggests that speed won't come from the formalism all by itself

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Words are fine - but we need more

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- Morphophonemic parsing
- Given surface form, recover underlying form:

- Generative model - concatenate then fix up joints
. stop + -ing \(=\) stopping, fly \(+\mathrm{s}=\) flies
. Use a cascade of transducers to handle all the fixups
- Probabilistic model - some constraints on morpheme sequences using prob of one character appearing before/after another prob(ing | stop) vs. prob(ly| stop)


\begin{tabular}{|llll|}
\hline & \begin{tabular}{lll} 
Porter output \\
Sample Output (English): & \\
\hline \begin{tabular}{|llll} 
consigned & consign & knack & knack \\
consignment & consign & knackeries & knackeri \\
consolation & consol & knaves & knavish \\
consolatory & consolatori knavish & knavish \\
consolidate & consolid & knif & knif \\
consolidating & consolid & knife & knife \\
consoling & consol & knew & knew \\
\hline
\end{tabular} \\
\hline
\end{tabular} \\
\hline
\end{tabular}

\begin{tabular}{|llll|}
\hline \begin{tabular}{lll} 
aufeinander & aufeinand & kategorie
\end{tabular} & kategori \\
auferlegen & auferleg & kategorien & kategori \\
auferlegt & auferlegt & kater & kat \\
auferlegten & auferlegt & katers & kat \\
auferstanden & auferstand katze & katz \\
auferstehen & auferstand katzen & katz \\
aufersteht & aufersteht kätzchen & katzch \\
\hline
\end{tabular}



\section*{Stemming: Errors}
- Understemming: failure to merge
. Adhere/adhesion
- Overstemming: incorrect merge
- Probe/probable
. Claim: -able irregular suffix, root: probare (Lat.)
- Mis-stemming: removing a non-suffix (Porter, 1991)
- reply -> rep

\section*{Stemming: Interaction}
- Interacts with noun compounding:
- Example:
- operating systems
- negative polarity items
. For IR, compounds need to be identified first...

\section*{Stemming: Porter Algorithm}
- Rule format:
- (condition on stem) suffix \({ }_{1}->\) suffix \({ }_{2}\)
. In case of conflict, prefer longest suffix match
. "Measure" of a word is \(m\) in:
- (C) (VC) \({ }^{m}(V)\)
- \(\mathrm{C}=\) sequence of one or more consonants
- \(V=\) sequence of one or more vowels
- Examples:
- tree \(\mathrm{C}(\mathrm{VC})^{\circ} \mathrm{V}\)
- troubles \(\mathrm{C}(\mathrm{VC})^{2}\)

\section*{Stemming: Porter Algorithm}
- Step 1a: remove plural suffixation
- SSES -> SS (caresses)
- IES -> I (ponies)
- SS -> SS (caress)
- S -> (cats)
- Step 1b: remove verbal inflection
- ( \(\mathrm{m}>0\) ) EED -> EE (agreed, feed)
- (*v*) ED -> (plastered, bled)
- (* \(\mathrm{v}^{*}\) ) ING -> (motoring, sing)

\section*{Stemming: Porter Algorithm}
- Step 1b: (contd. for -ed and -ing rules)
- AT -> ATE (conflated)
- BL -> BLE (troubled)
- IZ -> IZE (sized)
- (*doubled c \& \(\neg(* \mathrm{~L} v * S \mathrm{v} * \mathrm{Z}))\) ) -> single c (hopping, hissing, falling, fizzing)
- ( \(\mathrm{m}=1 \& * \mathrm{cvc}\) ) -> E (filing, failing, slowing)
- Step 1c: Y and I
- (*v*) Y -> I (happy, sky)

\section*{Stemming: Porter Algorithm}
- Step 2: Peel one suffix off for multiple suffixes
- \((m>0)\) ATIONAL \(->\) ATE (relational)
- \((m>0)\) TIONAL -> TION (conditional, rational)
- \((m>0)\) ENCI \(->\) ENCE (valenci)
- \((m>0)\) ANCI \(->\) ANCE (hesitanci)
- \((m>0)\) IZER -> IZE (digitizer)
- \((\mathrm{m}>0)\) ABLI -> ABLE (conformabli) - able (step 4)
- \((m>0)\) IZATION -> IZE (vietnamization)
- \((m>0)\) ATION \(->\) ATE (predication)
- \((m>0)\) IVITI -> IVE (sensitiviti)

\section*{Stemming: Porter Algorithm}
- Step 3
- (m>0) ICATE -> IC (triplicate)
- ( \(m>0\) ) ATIVE -> (formative)
- ( \(\mathrm{m}>0\) ) ALIZE -> AL (formalize)
- \((\mathrm{m}>0)\) ICITI -> IC (electriciti)
- ( \(\mathrm{m}>0\) ) ICAL -> IC (electrical, chemical)
- \((m>0)\) FUL -> (hopeful)
- ( \(\mathrm{m}>0\) ) NESS -> (goodness)

\section*{Stemming: Porter Algorithm}
- Step 4: Delete last suffix
- (m>1) AL -> (revival) - revive, see step 5
- \((m>1)\) ANCE \(->\) (allowance, dance)
- ( \(m>1\) ) ENCE \(->\) (inference, fence)
- (m>1) ER -> (airliner, employer)
- \((m>1)\) IC \(->\) (gyroscopic, electric)
- ( \(m>1\) ) ABLE -> (adjustable, mov(e)able)
- ( \(m>1\) ) IBLE -> (defensible,bible)
- ( \(m>1\) ) ANT -> (irritant,ant)
- \((m>1)\) EMENT -> (replacement)
- (m>1) MENT -> (adjustment)
- ...

\section*{Stemming: Porter Algorithm}
- Step 5a: remove \(e\)
- ( \(\mathrm{m}>1\) ) E \(->\) (probate, rate)
- (m>1 \& \(\left.\neg^{*} \mathrm{cvc}\right) \mathrm{E}->\) (cease)
- Step 5b: //reduction
- (m>1 \& *LL) -> L (controller, roll)

\section*{Stemming: Porter Algorithm}
- Misses (understemming)
. Unaffected:
- agreement \((\mathrm{VC})^{1} \mathrm{VCC}\) - step \(4(\mathrm{~m}>1)\)
- adhesion
. Irregular morphology:
. drove, geese
- Overstemming
- relativity - step 2
- Mis-stemming
. wander \(\mathrm{C}(\mathrm{VC})^{1} \mathrm{VC}\)

\section*{Basic Morphology}

Basic Affix Typology (don't seem to need more):
- i-suffix: inflectional suffix

English: cheer+ed = cheered, fit+ed = fitted, love+ed = loved
- d-suffix: derivational suffix, changes word type

English: walk(V)+er = walker(N),
happy ( \(A\) )+ness=happiness \((N)\)
- a-suffix: attached suffix (enclitics).


\section*{Algorithmic Method}

General Strategy:
- Normal order of suffixes seems to be \(d, i, a\).
- Remove from right in order \(a, i, d\).
- Generally remove all the \(a\) and \(i\) suffixes, sometimes leave the \(d\) one.


\section*{Algorithmic Method}

Strategy for German:
- Leave prefixes alone because they can change meaning.
- Put everything in small caps.
- Get rid of \(g e\)-.
- Get rid of itype: e, em, en, ern, er, es, s, est, (e.g, armes > arm)
- Get rid of \(d\) type: \(\underset{6.8631 / 9.6113 \text { SP0 }}{\text { und }}\) Leture 4 , isch, lich, heit, keit


Does stemming indeed improve IR?
- No: Harman (1991), Krovetz (1993)
- Possibly: Krovetz (1995)

Depends on type of text, and the assumption is that once one moves beyond English, the difference will prove significant.

\section*{Crosslinguistic Applicability}
- Can this type of stemming be applied to all languages?
- Not to Chinese, for example (doesn't need it).
- Do all languages have the same kind of morphology?
- No. Stemming assumes basically agglutinative morphology. This is not true crosslinguistically (but the algorithms seem to work pretty well within Indo-

\section*{Two ways of looking at language \& the Great Divide}
- Text understanding vs. Information Retrieval (IR)
- Info retrieval example: name extraction; how does Google correct "Britney Speers"```

